

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Our Docket No.: 1169.12-0314

Date: June 25, 1998

First Named Inventor: Kent J. Forbord

Express Mail No.: EL072150486US

APPLICATION ELEMENTS

ADDRESS TO:

Assistant Commissioner for Patents

Box Patent Application

Washington, D.C. 20231

1. ☒ Fee Calculation Sheet  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages [27]
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed. Sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3. ☒ Drawings (35 U.S.C. 113) Total Sheets [11]
4. Oath or Declaration Total Pages [2]
  - a. ☒ Newly Executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d) - for continuation/divisional with Box 18 completed)  
[Mark Box 5 below]  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b)
5. ☐ Incorporation by Reference (useable if Box 4b is checked). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to Computer Copy)
  - c. ☐ Statement verifying identity of above copies

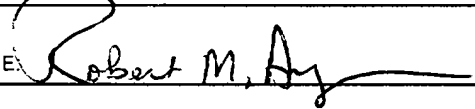
ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 C.F.R. 3.73(b) Submission  
☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement with  
Copies of Citations as necessary
12. ☐ Preliminary Amendment Total Pages [ ]
13. ☒ Return Receipt Postcard (Should be specifically itemized)
14. ☐ Small Entity Statement(s)  
☐ Statement filed in Prior Application. Status still proper and desired
15. ☐ Certified Copy of Priority document(s)  
(If foreign priority is claimed)
16. ☒ File Data Sheet
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No.

19. CORRESPONDENCE ADDRESS

ATTY NAME AND REG. NO.	Robert M. Angus	24,383	SIGNATURE 
ADDRESS	Kinney & Lange, P.A. 625 Fourth Avenue South, Suite 1500 Minneapolis, MN 55415-1659		
TELEPHONE	(612) 339-1863		
FAX	(612) 339-6580		

FEE TRANSMITTAL		Complete if Known																																																																																																																																											
		Application No.																																																																																																																																											
		Filing Date	Herewith																																																																																																																																										
		First Named Inventor	Kent J. Forbord																																																																																																																																										
		Group Art Unit																																																																																																																																											
		Examiner Name																																																																																																																																											
Total Amount of Payment: \$ <u>830.00</u>		Atty. Docket Number	1169.12-0314																																																																																																																																										
METHOD OF PAYMENT (Check One)		FEE CALCULATION (Continued)																																																																																																																																											
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account No. <u>11-0982</u> . Deposit Account Name: Kinney & Lange, P.A.		<b>3. ADDITIONAL FEES</b>  <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - Late filing fee or oath</td><td>*</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td>*</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td>*</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For Filing a Request for Reexamination</td><td>*</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td>*</td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td>*</td></tr> <tr><td>117</td><td>950</td><td>217</td><td>475</td><td>Extension for reply within third month</td><td>*</td></tr> <tr><td>118</td><td>1,510</td><td>218</td><td>755</td><td>Extension for reply within fourth month</td><td>*</td></tr> <tr><td>128</td><td>2,060</td><td>280</td><td>1,030</td><td>Extension for reply within fifth month</td><td>*</td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td>*</td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td>*</td></tr> <tr><td>148</td><td>110</td><td>248</td><td>55</td><td>Terminal Disclaimer Fee</td><td>*</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td>*</td></tr> <tr><td>141</td><td>1,320</td><td>241</td><td>660</td><td>Petition to revive - unintentional</td><td>*</td></tr> <tr><td>142</td><td>1,350</td><td>242</td><td>690</td><td>Utility/Reissue issue fee (inc. advance copies)</td><td>*</td></tr> <tr><td>143</td><td>480</td><td>243</td><td>255</td><td>Design issue fee (inc. advance copies)</td><td>*</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td>*</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td>*</td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Information Disclosure Stmt</td><td>*</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td><u>40</u></td></tr> <tr><td colspan="5">Other fee (specify) _____</td><td>*</td></tr> <tr><td colspan="5" style="text-align: right;">Subtotal (3) \$40.00</td><td></td></tr> </tbody> </table>		Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee paid	105	130	205	65	Surcharge - Late filing fee or oath	*	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	*	139	130	139	130	Non-English specification	*	147	2,520	147	2,520	For Filing a Request for Reexamination	*	115	110	215	55	Extension for reply within first month	*	116	400	216	200	Extension for reply within second month	*	117	950	217	475	Extension for reply within third month	*	118	1,510	218	755	Extension for reply within fourth month	*	128	2,060	280	1,030	Extension for reply within fifth month	*	120	310	220	155	Filing a brief in support of an appeal	*	121	270	221	135	Request for oral hearing	*	148	110	248	55	Terminal Disclaimer Fee	*	140	110	240	55	Petition to revive - unavoidable	*	141	1,320	241	660	Petition to revive - unintentional	*	142	1,350	242	690	Utility/Reissue issue fee (inc. advance copies)	*	143	480	243	255	Design issue fee (inc. advance copies)	*	122	130	122	130	Petitions to the Commissioner	*	123	50	123	50	Petitions related to provisional applications	*	126	240	126	240	Submission of Information Disclosure Stmt	*	581	40	581	40	Recording each patent assignment per property (times number of properties)	<u>40</u>	Other fee (specify) _____					*	Subtotal (3) \$40.00					
Large Entity Fee Code	Large Entity Fee (\$)			Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee paid																																																																																																																																						
105	130			205	65	Surcharge - Late filing fee or oath	*																																																																																																																																						
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	*																																																																																																																																								
139	130	139	130	Non-English specification	*																																																																																																																																								
147	2,520	147	2,520	For Filing a Request for Reexamination	*																																																																																																																																								
115	110	215	55	Extension for reply within first month	*																																																																																																																																								
116	400	216	200	Extension for reply within second month	*																																																																																																																																								
117	950	217	475	Extension for reply within third month	*																																																																																																																																								
118	1,510	218	755	Extension for reply within fourth month	*																																																																																																																																								
128	2,060	280	1,030	Extension for reply within fifth month	*																																																																																																																																								
120	310	220	155	Filing a brief in support of an appeal	*																																																																																																																																								
121	270	221	135	Request for oral hearing	*																																																																																																																																								
148	110	248	55	Terminal Disclaimer Fee	*																																																																																																																																								
140	110	240	55	Petition to revive - unavoidable	*																																																																																																																																								
141	1,320	241	660	Petition to revive - unintentional	*																																																																																																																																								
142	1,350	242	690	Utility/Reissue issue fee (inc. advance copies)	*																																																																																																																																								
143	480	243	255	Design issue fee (inc. advance copies)	*																																																																																																																																								
122	130	122	130	Petitions to the Commissioner	*																																																																																																																																								
123	50	123	50	Petitions related to provisional applications	*																																																																																																																																								
126	240	126	240	Submission of Information Disclosure Stmt	*																																																																																																																																								
581	40	581	40	Recording each patent assignment per property (times number of properties)	<u>40</u>																																																																																																																																								
Other fee (specify) _____					*																																																																																																																																								
Subtotal (3) \$40.00																																																																																																																																													
2. <input checked="" type="checkbox"/> Check Enclosed																																																																																																																																													
FEE CALCULATION																																																																																																																																													
<b>1. BASIC FILING FEE</b>  <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr><td>101</td><td>790</td><td>201</td><td>395</td><td><input checked="" type="checkbox"/> Utility Filing Fee</td></tr> <tr><td>106</td><td>330</td><td>206</td><td>165</td><td><input type="checkbox"/> Design Filing Fee</td></tr> <tr><td>108</td><td>790</td><td>208</td><td>395</td><td><input type="checkbox"/> Reissue Filing Fee</td></tr> <tr><td>114</td><td>150</td><td>214</td><td>75</td><td><input type="checkbox"/> Prov. Filing Fee</td></tr> <tr><td colspan="5" style="text-align: right;">Subtotal (1) \$790.00</td></tr> </tbody> </table>		Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	101	790	201	395	<input checked="" type="checkbox"/> Utility Filing Fee	106	330	206	165	<input type="checkbox"/> Design Filing Fee	108	790	208	395	<input type="checkbox"/> Reissue Filing Fee	114	150	214	75	<input type="checkbox"/> Prov. Filing Fee	Subtotal (1) \$790.00																																																																																																																		
Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description																																																																																																																																									
101	790	201	395	<input checked="" type="checkbox"/> Utility Filing Fee																																																																																																																																									
106	330	206	165	<input type="checkbox"/> Design Filing Fee																																																																																																																																									
108	790	208	395	<input type="checkbox"/> Reissue Filing Fee																																																																																																																																									
114	150	214	75	<input type="checkbox"/> Prov. Filing Fee																																																																																																																																									
Subtotal (1) \$790.00																																																																																																																																													
<b>2. EXTRA CLAIM FEES</b>  <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th>Number Claims</th> <th>Prior**</th> <th>Extra</th> <th>Fee from Below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Total</td> <td><u>4</u></td> <td><u>20</u></td> <td><u>0</u> x</td> <td><u>*</u></td> <td><u>*</u></td> </tr> <tr> <td>Indep.</td> <td><u>2</u></td> <td><u>3</u></td> <td><u>0</u> x</td> <td><u>*</u></td> <td><u>*</u></td> </tr> <tr> <td>Multiple Dependent Claims</td> <td></td> <td></td> <td></td> <td><u>*</u></td> <td><u>*</u></td> </tr> </tbody> </table> <p>**Insert 3 and 20, or number previously paid if greater; Reissue see below</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Description</th> </tr> </thead> <tbody> <tr><td>103</td><td>22</td><td>203</td><td>11</td><td>Claims in excess of 20</td></tr> <tr><td>102</td><td>82</td><td>202</td><td>41</td><td>Independent claims in excess of 3</td></tr> <tr><td>104</td><td>270</td><td>204</td><td>135</td><td>Multiple Dependent Claim</td></tr> <tr><td>109</td><td>82</td><td>209</td><td>41</td><td>Reissue Independent Claims Over Original Patent</td></tr> <tr><td>110</td><td>22</td><td>210</td><td>11</td><td>Reissue claims in excess of 20 and over original patent</td></tr> <tr><td colspan="5" style="text-align: right;">Subtotal (2) \$0</td></tr> </tbody> </table>			Number Claims	Prior**	Extra	Fee from Below	Fee Paid	Total	<u>4</u>	<u>20</u>	<u>0</u> x	<u>*</u>	<u>*</u>	Indep.	<u>2</u>	<u>3</u>	<u>0</u> x	<u>*</u>	<u>*</u>	Multiple Dependent Claims				<u>*</u>	<u>*</u>	Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Description	103	22	203	11	Claims in excess of 20	102	82	202	41	Independent claims in excess of 3	104	270	204	135	Multiple Dependent Claim	109	82	209	41	Reissue Independent Claims Over Original Patent	110	22	210	11	Reissue claims in excess of 20 and over original patent	Subtotal (2) \$0																																																																																					
	Number Claims	Prior**	Extra	Fee from Below	Fee Paid																																																																																																																																								
Total	<u>4</u>	<u>20</u>	<u>0</u> x	<u>*</u>	<u>*</u>																																																																																																																																								
Indep.	<u>2</u>	<u>3</u>	<u>0</u> x	<u>*</u>	<u>*</u>																																																																																																																																								
Multiple Dependent Claims				<u>*</u>	<u>*</u>																																																																																																																																								
Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Description																																																																																																																																									
103	22	203	11	Claims in excess of 20																																																																																																																																									
102	82	202	41	Independent claims in excess of 3																																																																																																																																									
104	270	204	135	Multiple Dependent Claim																																																																																																																																									
109	82	209	41	Reissue Independent Claims Over Original Patent																																																																																																																																									
110	22	210	11	Reissue claims in excess of 20 and over original patent																																																																																																																																									
Subtotal (2) \$0																																																																																																																																													

Signature Robert M. Angus Reg. No. 24,383

Date June 25, 1978 Deposit Account No. 11-0982

## Inventor Information

Inventor One Given Name :: Kent J.  
Family Name :: Forbord  
Name Suffix ::  
Postal Address Line One :: 4007 Highwood Road  
Postal Address Line Two ::  
City :: St. Louis Park  
State/Province :: Minnesota  
Country :: U.S.A.  
Postal or Zip Code :: 55416  
City of Residence :: St. Louis Park  
State/Prov. of Residence :: MN  
Country of Residence ::  
Citizenship :: U.S.A.

Given name of Applicant ::  
Family Name ::  
Name Suffix ::  
Authority under 1.42 ::  
Authority under 1.43 ::  
Authority under 1.47 ::  
Postal Address Line One ::  
Postal Address Line Two ::  
City ::  
State/Province ::  
Country ::  
Postal or Zip Code ::  
City of Residence ::  
State/Prov. of Residence ::  
Country of Residence ::  
Citizenship ::

## Correspondence Information

Name Line One :: Robert M. Angus  
Address Line One :: Kinney & Lange, P.A.  
Address Line Two :: 625 Fourth Avenue South, Suite 1500  
City :: Minneapolis  
State/Province :: MN  
Postal or Zip Code :: 55415-1659  
Telephone :: 612/339-1863  
Fax :: 612/339-6580  
E-Mail :: rmangus@kinney.com

## Application Information

Title Line One :: HIGH PERFORMANCE STANDARD CONFIGURATION  
Title Line Two :: DISC DRIVE HAVING SMALLER-THAN-STANDARD DISCS  
Total Drawing Sheets :: 11  
Formal Drawings :: Y  
Application Type :: Utility  
Docket Number :: I169.12-0314  
Licensed - U S Government Agency ::  
Contract Number ::  
Grant Number ::  
Secrecy Order in Parent Application ::

## Representative Information

Representative Customer Number :: 00164

## Continuity Information

This application is a :: Claim Benefit of  
> Application One :: 60/057,955  
Filing Date :: 09/05/97  
Patent Number ::  
This application is a :: Claim Benefit of  
> Application Two :: 60/063,322  
Filing Date :: 10/27/97  
Patent Number ::

## Prior Foreign Applications

Foreign Application One ::  
Filing Date ::  
Country ::  
Priority Claimed ::

HIGH PERFORMANCE STANDARD CONFIGURATION DISC DRIVE  
HAVING SMALLER-THAN-STANDARD DISCS

CROSS-REFERENCE TO RELATED APPLICATION(S)

5           This application claims priority from U.S. Provisional Application No. 60/057,955, filed September 5, 1997 for "Ultra High Performance Disc Drive" by Kent J. Forbord and from U.S. Provisional Application No. 60/063,322, filed October 27, 1997 for "Ultra High Performance Disc Drive" by Kent J. Forbord.

10                           BACKGROUND OF THE INVENTION

          This invention relates to magnetic disc drive assemblies of the class employing a stack of rigid discs in a standard housing profile.

          Magnetic disc drive assemblies employing rigid, or hard, discs are commonly used in desktop and other computer mainframes as a principal memory for the computer. Currently, rigid disc magnetic disc drive assemblies are available in  
15       three different standard footprints, commonly known as 2½ inch, 3½ inch and 5¼ inch drives. These standard drives are available in several configurations, the most common being known as low-profile and half-high drives. The principal difference between a low-profile drive and a half-high drive is that a low-profile drive typically  
20       has half the number of rigid discs in the disc stack, and hence half the data storage capacity, as a half-high drive. Computer manufacturers design their computer models to accommodate one of these three standard footprints and one of the two configurations. Consequently, disc drive manufacturers produce disc drives having a form and fit meeting the standard configuration of one of the three footprints and  
25       one of the two heights.

          As used herein, the term "footprint" refers to the two-dimensional plan or layout of an element at a given plane, such as the mounting layout of the element. The "footprint" of a disc drive is, therefore, the two-dimensional plan of the disc drive housing at a given plane, such as its mounting layout within the computer.  
30       The term "real estate" as used herein, refers to the three-dimensional space or volume

required by an element in its operational mode. "Real estate" also refers to the space or volume required to perform an operation. Therefore, the "real estate" required for an E-block assembly is the volume required for the E-block in its full rotational pattern, as well as any space required for its installation and routine repair. The term  
5 "configuration" as used herein, refers to the three-dimensional layout or plan of an element; the "configuration" of a disc drive being the three-dimensional layout or plan of the space taken by the disc drive housing.

There is a continuing need for faster computers with greater capacity. This need is met in the disc drive industry by a combination of factors, including  
10 increasing density of data recorded on discs, increasing data transfer rates between the disc and the electronics, shortening the seek time of movement of a transducing head to a desired track on a disc, and reducing the latency to reaching a desired location on a track, among others. With increasingly improved discs, it is possible to pack more data into a given area of a disc. With increasingly more precise transducing  
15 heads, it is possible to transduce data to and from high density discs. With increasingly improved circuits, it is possible to respond to data at higher data rates. With lighter and shorter actuator arms it is possible to reduce seek times for the transducing heads. With increasingly improved spindle motors, it is possible to spin the discs faster to thereby improve data rates and reduce latency. It will be  
20 appreciated, however, that certain trade-offs are required for a given configuration of disc drives. More particularly, shorter actuator arms require smaller discs, meaning there is less disc surface on which to record data. Increased disc speed requires more power, generating more heat which requires dissipation. Given the constraint that the overall profile of the disc drive housing must conform to one of the standards, as may  
25 be required by the computer manufacturer into which the drive is to be assembled, additional trade-offs may be required to accommodate the specifications for the computer manufacturer.

The present invention is directed to a disc drive having a standard housing configuration containing a stack of rigid recording discs that are rotated at increased speed without increasing the power consumption of the drive. The present invention is also directed to a disc drive having a standard housing configuration containing a stack of rigid recording discs having smaller than standard diameters without reducing the data capacity of the drive. The present invention is also directed to a disc drive having a standard housing configuration containing a stack of rigid recording discs having smaller than standard diameters and a shorter actuator arm for reduced seek times.

10

#### BRIEF SUMMARY OF THE INVENTION

In one aspect of the invention, a magnetic disc drive assembly includes a disc drive housing having an external three-dimensional configuration matching a standard configuration. A disc drive is supported in the housing. The disc drive assembly includes a stack of rotatable rigid magnetic recording discs each having a diameter smaller than the diameter of rigid discs ordinarily contained in a disc drive housing having the standard configuration. The disc drive assembly also includes a head/actuator assembly for reading data to and writing data from selected ones of the discs.

20

In one embodiment of this aspect of the invention, the disc drive housing has a standard 3½ inch external three-dimensional configuration. The stack of rotatable rigid magnetic recording discs comprises discs having a diameter of 84 mm each.

In another embodiment of this aspect of the present invention, the number discs within the housing is greater than the number of discs ordinarily contained in the disc drive housing having the standard configuration.

In another embodiment of this aspect of the present invention, the magnetic disc drive assembly includes means for stacking and rotating the stack of

rigid magnetic recording discs within the housing. Each disc has opposite recording surfaces. The head/actuator assembly includes a plurality of transducers, each associated with a recording surface of one of the discs, and actuator means supporting the plurality of transducers for positioning each transducer adjacent a respective surface of a disc.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a top plan view of a standard magnetic disc drive, with the top cover removed, as in the prior art.

FIG. 2 is a partial section view of the disc stack and spindle assembly of the disc drive illustrated in FIG. 1, taken at line 2-2 in FIG. 1.

FIG. 3 is a top plan view of a magnetic disc drive, with the top cover removed, in accordance with the present invention.

FIG. 4 is a partial section view of the disc stack and spindle assembly of the disc drive illustrated in FIG. 3, taken at line 4-4 in FIG. 3.

FIG. 5 is a frontal and top perspective view of the disc drive illustrated in FIGS. 3 and 4, with the top cover removed.

FIG. 6 is an exploded perspective view, as in FIG. 5, of the disc drive illustrated in FIGS. 3 and 4 and its top cover.

FIG. 7 is a perspective bottom view of the disc drive housing illustrated in FIGS. 3 and 4 illustrating the assembly of the bottom seal to the housing.

FIG. 8 is a section view of the disc drive housing taken at line 8-8 in FIG. 3.

FIGS. 9 and 10 are perspective views of opposite sides of a connector employed in the disc drive illustrated in FIGS. 3 and 4.

FIG. 11 is a plan view of a latch mechanism employed in the disc drive illustrated in FIGS. 3 and 4.



FIG. 12 is a perspective view of a portion of an actuator assembly of the disc drive illustrated in FIGS. 3 and 4.

FIG. 13 is an exploded perspective view illustrating connection of conductors between a flex circuit and transducing heads supported on load arm/gimbal assemblies of the actuator assembly illustrated in FIG. 12.

FIG. 14 is a top view of the actuator assembly illustrated in FIG. 12 with the conductors in place.

FIG. 15 is a perspective view of the complete actuator assembly of the disc drive illustrated in FIGS. 3 and 4.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

FIG. 1 is a top view, and FIG. 2 is a section view taken at line 2-2 in FIG. 1, of a standard 3½ inch half-high disc drive as in the prior art. The disc drive includes a housing 10 having a standard footprint that is 101.6 millimeters (4.0 inches) wide and 146 mm (5.75 inches) long. A stack of discs 12 are mounted to a disc spindle 14 centered on an axis 16 that is located 50.8 mm (2.0 inches) from one short side 18 and both long sides 20 and 22 of housing 10. Discs 12 have a diameter of 95 millimeters (3.74 inches) and are stacked on spindle 14 within a cylindrical receiver portion of housing 10 defined by inner cylindrical surface 24. Surface 24 has a radius of approximately 48.3 mm (1.9 inches), centered on axis 16. It will be appreciated that the thickness of the walls of housing 10 at the points where surface 24 is closest to the external sides 18, 20 and 22, is about 2.5 mm (0.1 inches).

As shown particularly in FIG. 2, the stack of discs comprises ten concentric discs 12 mounted to an aluminum hub 26 by clamp ring 28. Balance shims 30 positioned on the clamp ring and the lower portion of hub 26 provide balance to the stack of discs to prevent wobble as the discs spin. Each disc has a thickness of approximately 0.8 mm (0.0315 inch) and spacers 33 space the discs from each other by approximately 1.84 mm (0.0725 inch). As shown, spacers 33 extend radially from

spindle axis 16 by a design width greater than the radial width of clamp ring 28. The radial extent of spacers 33 define the position of the innermost track on discs 12. The radius of the clamp ring is smaller than the radius of the spacers. The stack height of a full stack of ten discs (between the top surface of the top disc and the bottom surface of the bottom disc) is approximately 24.6 mm (0.9675 inch). Motor 32 is mounted to spindle 14 to rotate discs 12 at a design speed of 7200 revolutions per minutes (rpm). The disc drive illustrated in FIGS. 1 and 2 has a track density of 8250 tracks per radial inch (325 tracks per radial millimeter) of each disc. With ten discs as described, the disc drive of the prior art has a data capacity of about 18 gigabytes.

Input/output cable connector 34 is a female connector that mates with a corresponding standard male connector (not shown) connected to external circuitry (not shown). Connector 34 is connected to circuit board 35 that nests beneath the disc drive at an underportion of housing 10. Due to its configuration, connector 34 requires more space adjacent side 18 of the housing than board 35 requires more centrally. Connector 34 and circuit board 35 provide power and control inputs for motor 32 as well as signal and power inputs and outputs for other portions of the disc drive to be described. Circuit board 35 may also include data processing circuits used in reading and writing data from and to the recording surfaces on the discs. Typically, additional printed circuits (not shown) are formed in housing 10 on a bottom surface for distribution of signals to voice coil motor 36 (FIG 1) for E-block 38, as well as to bulkhead connector 40. Bulkhead connector 40 is connected to flex circuit 42, which in turn spans the space and is connected to conductors on E-block 38. The conductors on the E-block extend to magnetic transducing heads on sliders 44, one slider being mounted to each load arm 46 at the end of the actuator arms of E-block 38. Load arms 46 support gimbal suspensions that support head/slider devices. Slider 44 "flies" over the respective disc surface on an air bearing created by rotation of the disc.

As is well known in the art, there is a separate load arm 46 and gimbal/slider/head 44 for each of the twenty disc surfaces of the ten discs 12. The twenty load arms 46 are mounted to eleven actuator arms of the E-block for rotation about axis 48 under the influence of voice coil motor 36. A latch pin 50 is mounted to arm 52 of E-block 38 to react against stop surfaces (not shown) rigidly mounted to the lower wall or deck of housing 10 to limit the rotational travel of the E-block to thereby define the inner and outer tracks on discs 12. The engagement of latch pin 50 to a stop surface limits the rotational travel of E-block 38 about the axis 48 of the actuator arm, thereby defining stop positions for the stop arm that in turn define the inner and outer tracks of the discs. In the prior art 3½ inch disc drive, the inner data track radius is 20.4 mm (0.804 inches) and the data outer track radius is 45.7 mm (1.8 inches) from spindle axis 16 of discs 12.

Conveniently, a latch mechanism 56 is mounted to housing 10 to engage E-block 38 when the actuator assembly is in a rest or shipping position at an inner track of discs 12. Latch mechanism 56 is mounted to the bottom wall of housing 10 in the space adjacent flex cable 42. It will be appreciated that flex cable 42 requires a volume of space (real estate) to fold or bend within the housing as E-block 38 rotates to position the heads at selected radial positions relative to the discs. Desiccant package 68 is positioned between bulkhead connector 40 and side wall 22 of housing 10.

A stainless steel cover 70 (FIG. 2) is fastened to the top surface of housing 10 with a gasket 72 to thereby seal the contents of the housing and protect the disc drive from contaminants that might otherwise enter the drive. Conveniently, a desiccant packet 68 is inserted into the disc drive prior to final assembly of flex cable 42 and cover 70 to housing 10 to maintain the humidity within the disc drive to a design level. With the cover in place, the overall height of the disc drive is 41.15 mm (1.62 inches).

It will be appreciated that the space within housing 10 of the disc drive illustrated in FIGS. 1 and 2 is occupied with the various parts of the disc drive. Real estate is at a premium, restricting optimal layout of additional electronics or mechanical features to improve the disc drive performance.

5 It will also be appreciated that the outer edges of the discs are moving at a linear rate of approximately 1,615 inches per second (ips) (63.58 mm/s). The relative movement of the disc to the transducer slider creates an air bearing on which the slider flies. However, the rotating disc also pumps air into and out of the space between the discs, creating a turbulent air flow pattern in that space. This turbulence  
10 creates varying air velocities and pressures within the disc drive which excite the disc assembly into resonance. Resonance within the disc assembly creates mechanical movements, resulting in transducer or head positioning errors which can adversely affect the performance of the disc drive or adversely limit track density. Baffles 60, 62, and 64 are often employed about the outer periphery of the discs to channel air  
15 movement and reduce air turbulence within the disc drive, thereby reducing drag on the discs and the power required to rotate the discs. Conveniently, filter 66 may be employed to filter contaminants from the air.

A "low-profile" version of the disc drive illustrated in FIG. 1 and 2 comprises a disc drive with five discs (instead of ten in a half-high drive) so that the  
20 stack height is 11.37 mm (0.4475 inches), instead of 24.6 mm in a half-high drive, and the overall or profile height with the cover in place is 25.4 mm (1.00 inches), instead of 41.15 mm in a half-high drive. Also, since there are only five discs, ten load arms mounted to six actuator arms of the E-block are employed, instead of twenty and eleven in the case of the half-high drive. Otherwise, the construction is the same. The  
25 low-profile and half-high drives enjoy the same footprint, and the same size and style of recording discs, and essentially the same seek time. However, because there are half as many discs in a low-profile drive, the total data capacity is also half that of a

half-high drive. Hence, the low-profile drive has a capacity of about 9 gigabytes, compared to 18 gigabytes of the half-high drive.

There are several problems with the disc drive illustrated in FIGS. 1 and 2. Due to the volume requirements of the various components of the drive, there is no real estate available for future electronic or mechanical features to improve the disc drive. Moreover, the drive is limited in access time and speed of recovery. More particularly, the actuator assembly illustrated in FIG. 1 has a length of 52 mm (2.05 inches) from axis of 48 to the transducing gap of head 44. The actuator arm illustrated in FIG. 1 typically requires an inertia of 116 gram-cm<sup>2</sup> (18 gram-inch<sup>2</sup>). Track seeks, which is the movement of the head from a current track to a desired destination track, requires an average of 7.7 milliseconds (msec). Moreover, once reaching the destination track, there is a latency associated with the disc drive because the disc must rotate to a position where the head may read a header or other informational portion of the track before the head is readied for transducing with the track. During the seek movement and latency, it is not possible to read data from, or write data to, the disc tracks.

The present invention is directed to an improved disc drive requiring less inertia for the actuator arm and a shorter average seek time without sacrificing drive capacity or the form factor of the disc housing, or significantly increasing power requirements of the spindle motor. The disc drive of the present invention requires less power to rotate a disc at a given speed. One form of the disc drive of the present invention achieves higher disc rotational velocities without significantly increasing power requirements of the spindle motor. Hence, the operating temperature of the drive is not increased. Since higher operating temperatures of a disc drive accelerates disc drive failure, the present invention achieves improved performance without increasing failure due to temperature.

FIGS. 3 and 4 illustrate a top view and section view of a disc drive in accordance with one embodiment of the present invention. FIG. 5 is a

perspective view of disc drive 100, FIG. 6 is an exploded top perspective view of disc drive 100, FIG. 7 is an exploded bottom perspective view of the disc drive housing for disc drive 100, and FIG. 8 is a section view of the disc drive housing taken at line 8-8 in FIG. 3. For sake of comparison, the disc drive illustrated in FIGS. 3, 4 and 5-8 will be described in comparison to the 3½ inch half-high standard disc drive illustrated in FIGS. 1 and 2, but it is understood that the principles of the present invention are applicable to other standard disc drive forms, including 2½ inch and 5¼ inch drive forms and other heights, including low-profile.

Disc drive 100 includes a housing 102 having a standard footprint that is 101.6 mm (4.0 inches wide) and 146 mm (5.75 inches) long and identical to the footprint of the disc drive illustrated in FIGS. 1 and 2. A stack of twelve discs 104 are mounted to a disc spindle 106 centered on an axis 108 that is located 50.8 mm (2.0 inches) from one short side 110 and both long sides 112 and 114 of housing 102. Discs 104 have a diameter of 84 millimeters (about 3.3 inches) and are stacked on spindle 106 within a cylindrical receiver portion of housing 102 defined by inner cylindrical surface 116. Surface 116 has a radius of approximately 43.2 mm (1.7 inches), centered on axis 108. It will be appreciated that walls 110, 112 and 114 form a lip 115 at the top of housing 102, and that the thinnest portion of lip 115 (where surface 116 is closest to the external sides 110, 112 and 114), is about 7.6 mm (0.3 inches), as compared to 2.5 mm in the drive illustrated in FIGS. 1 and 2. Moreover, wall 110 includes heat fins 190 (FIG. 5), and the bottom edge of wall 110 includes a curved outline following the curve of the discs.

As shown particularly in FIG. 4, the stack of discs comprises twelve concentric discs 104 mounted to an aluminum hub 120 by clamp ring 122. Balance shims 124 positioned on clamp ring 122 and the lower portion of hub 120 provide balance to the stack of discs to prevent wobble as the discs spin. Each disc 104 has a thickness of approximately 0.8 mm (0.0315 inch) and spacers 123 between the discs space the discs from each other by approximately 1.75 mm (0.069 inch).

Consequently, the stack height of a full stack of twelve discs is approximately 28.88 mm (1.137 inch). Motor 126 is mounted to spindle 106 to rotate discs 104 at a design speed of 10,000 rpm.

Input/output connector 130 connects external circuitry (not shown) to circuit board 131 mounted under the underportion of housing 102. Connector 130 is illustrated in greater detail in FIGS. 5, 9 and 10. Like connector 34 shown in FIG. 2, connector 130 requires more space at the edges of the housing than board 131 requires centrally. Connector 130 includes a housing 300 having an opening 302 for receiving an industry standard male plug connector (not shown) from a cable (not shown) connected to circuitry (not shown) external to the disc drive. Contacts 304 within opening 302 are arranged to mate with contacts (not shown) on the plug connector. Opening 306 receives an edge of circuit board 131 (FIG. 4) and includes contacts 308 arranged to engage corresponding contacts on board 131. The upper surface 310 of housing 300 includes an arcuate recess 312 having the same configuration as the curved bottom edge 111 (FIG. 7) of wall 110 of housing 102. Connector 130 does not interfere with the space required for discs 104 due to the smaller radius of discs 104 as compared to that of discs 12 of the prior art and recess 312 receiving the bottom edge of wall 110 of housing 102. Consequently, the lowermost disc 104 closest to the lower wall of housing 102 is closer to the lower wall of the housing than is the lowermost disc of the stack of discs 12 shown in FIG. 2. As in the prior art, connector 130 provides power and control inputs for motor 126 as well as signal and power inputs and outputs for other portions of the disc drive to be described.

As shown particularly in FIG. 4, the thickness of the bottom wall 125 of housing 102 is thinner than that of prior art housing 10. More particularly, the thickness of the bottom wall 125 is about 3.25 mm (0.124 inches), compared to about 3.81 mm (0.150 inches) at bottom wall 31 in housing 10. Surface 116 forms a reduced receiver portion within housing 102 to receive the smaller discs. This

reduced receiver portion offsets any reduction in axial stiffness of housing 102 due to the reduced thickness of wall 125. Additionally, the thicker walls 110, 112 and 114 as described above, and the structural support provided by desiccant housing 186 described below, provide additional structural support for housing 102

5               Also as shown in FIG. 4, clamp ring 122 is axially thinner, but radially wider, than clamp ring 28 shown in FIG. 4. More particularly, the radial width of clamp ring 122 is approximately equal to the radial width of spacers 123 to compensate for the smaller axial thickness of the clamp ring to thereby control hoop stress in the clamp ring. The radial extent of spacers 123 define the position of the  
10 innermost track on discs 104. The reduced thickness of wall 125 (compared to wall 31), thinner clamp ring 122 (compared to clamp ring 28), thinner spacers 123 (compared to spacers 33) and closer positioning of the disc stack to the lower wall of the housing permit the twelve discs of disc drive 100 to fit into the same vertical dimension as the ten discs of the disc drive according to the prior art. Hence, the disc  
15 drive shown in FIG. 4 has a height of 41.15 mm (1.62 inches), the same as the disc drive shown in FIG. 2. The structural integrity of clamp ring 122 is not affected because its extended radial width offsets its thinner axial thickness. Moreover, the position of the innermost radial track on the recording disc is not affected by the wider clamp ring because the clamp ring extends no further from spindle axis 108 than  
20 do spacer rings 123.

Printed circuits (not shown) are formed in housing 102 on a bottom surface to provide connection to voice coil motor 140 (FIG. 3) for E-block 142, as well as data paths to bulkhead connector 170 mounted to the bottom wall of housing 102. Flex circuit 172 is connected to connector 170 and to conductors 214 (FIG. 13)  
25 on E-block 142 to provide signals to heads 144 mounted to each load arm 146 at the end of the actuator arms of E-block 142. Flex circuit 172 also carries voice coil signals for motor 140.



As is well known in the art, there is a separate load arm 146 and gimbal/slider/head 144 for each of the twenty-four disc surfaces of the twelve discs 104. The twenty-four load arms 146 are mounted to thirteen actuator arms of the E-block for rotation about axis 148 under the influence of voice coil motor 140. A pair of stop arms 150 and 152 are formed from the yoke of motor 140 to react against stop pins 154 and 156 mounted to housing 102 to define the limit of rotational travel of E-block 142, thereby defining the inner and outer tracks on discs 104. The engagement of stop arm 150 to stop pin 154 defines an inner stop position that limits the inner rotational travel of E-block 142 about the axis 148, thereby defining the inner track of the discs. The engagement of stop arm 152 to stop pin 156 defines an outer stop position that limits the outer rotational travel of E-block 142 about the axis 148, thereby defining the outer track of the discs.

As in the prior art 3½ inch disc drive, the inner track radius of the disc drive shown in FIGS. 3 and 4 is 20.4 mm, but the outer track radius is 40.2 mm (1.583 inches) from spindle axis 108 of discs 104, rather than 45.7 mm as in the prior art. Conveniently, a latch mechanism 160 is mounted to housing 102 to engage stop pin 362 on arm 152 when the actuator assembly is in a rest or shipping position at an inner track of discs 104. Latch mechanism 160 is illustrated particularly in FIG. 3 and in detail in FIG. 11, and includes a housing 350 formed of rigid plastic mounted to a pin or bearing 352 mounted to the disc drive housing and arranged to pivot about the axis of pin 352 in the direction of arrows 354. Housing 350 includes a first arm 356 having a detent 358 and lip 360 arranged to engage pin 362 on stop arm 152. Housing also includes a second arm 364 having a small permanent magnet 366 having north and south poles 367' and 367". Pins 368 and 370 are constructed of magnetic metal, such as magnetic stainless steel, and are rigidly mounted to the disc drive housing to define a limit to the rotation of housing 350 about the axis of pin 352 and to hold housing 350 at one of its limit positions by magnetic force of magnet 366. When voice coil 140 operates to move E-block 142 to an innermost track position,

pin 362 engages lip 360 to rotate latch housing to the position illustrated in FIGS. 3 and 11 to retain pin 358 mounted to stop arm 152 in detent 358. Magnet 366 engages pin 368 to prevent rotation of housing 350 due to physical shock. Hence, during transportation of the disc drive, magnet 366 and pin 368 retain the position of housing 350 to prevent rotation of E-block 142. To disengage pin 362 from detent 358, current applied to voice coil motor 140 provides sufficient force so that pin 362 reacts against detent 358 to rotate housing 350 about its axis, so that magnet 366 is attracted to pin 370 to move housing 350 to its unlatch position, opposite that shown in FIGS. 3 and 11.

10               The latch mechanism 56 of the prior 3½ inch disc drive shown in FIG. 1 was placed beside the actuator arm, near the space occupied by flex cable 42 for bending as the actuator arm rotated between its limit positions. The smaller disc diameter of the present invention, coupled with the shorter length of the actuator arm, permits the axis 148 of E-block 142 to be placed closer to axis 108 of the disc spindle than in prior disc drives. As a result, latch mechanism 160 may be placed  
15               behind voice coil 140 from E-block 142 (FIG. 4) without sacrificing the active length of the voice coil, thereby gaining improved access to the actuator assembly for connection of flex cable 172 to the actuator. Moreover, space within the drive is available to add future improvements in the actuator assembly thereby improving the  
20               disc drive.

              The disc drive illustrated in FIGS. 3 and 4 has a track density of 9000 tracks per radial inch (354.3 tracks per radial mm) of each disc. With twelve discs as described, the disc drive illustrated in FIGS. 3 and 4 has a data capacity of about 18 gigabytes.

25               Baffles 174, 176 and 178 are employed about the outer periphery of the discs to channel air movement and reduce drag on the discs. Filter 180 may be employed to filter contaminants from the air. An aperture 380 (FIGS. 7 and 8) is provided in a wall of housing 102 to permit the clock write head to access the servo

track of the disc drive, and bottom aperture 382 (FIGS. 7 and 8) provides a seat for disc spindle 106 and its associated bearings; aperture 382 being sealed by a gasket and insertion of the disc spindle to the housing.

A stainless steel cover 182 (FIGS. 4 and 6) is fastened to the top surface of housing 102 with a gasket 184 to seal the chamber of the housing and protect the disc drive from contaminants that might otherwise enter the drive. The top cover is fastened to housing 102 by threaded fasteners (not shown). A bottom cover 192 (FIG. 7) is fastened to the bottom wall of housing 102 to close a desiccant chamber. Bottom cover 192 comprises a metal plate assembled into the opening 194 in the bottom wall and held in place and sealed by adhesive tape 198. With the covers in place, the overall height of the disc drive is 41.15 mm (1.62 inches).

In the prior art, the desiccant member 68 (FIG. 1) was positioned in housing 10 between bulkhead connector 40 and the inside surface of side wall 22. It was necessary to insert the desiccant package 68 prior to sealing the drive. The desiccant package was then exposed to the relatively humid ambient conditions for a considerable amount of time during servo track writing and other testing before sealing the drive. The present invention employs a desiccant housing 186 that permits placement of desiccant 188 into the housing immediately before closing and sealing the disc drive, so the desiccant is exposed to humid air outside the drive for a minimal period of time.

FIG. 7 is an exploded bottom view of the disc drive housing 102 and bottom cover 192, and FIG. 8 is a section view of the housing 102 (without covers) taken at line 8-8 in FIG. 3. As shown in FIG. 7, a desiccant housing 186 is integral with the bottom wall of housing 102. Desiccant housing 186 has side walls that define a length and width to a desiccant chamber containing desiccant pack 188. One of the width side walls of desiccant housing 186 is common to one of the width side walls of disc drive housing 102 so that the desiccant housing is oriented lengthwise within the disc drive housing with the walls defining the length of the desiccant

housing being substantially parallel to the walls defining the length of housing 102. Desiccant housing 186, being integral with the bottom wall of housing 102, forms a structural beam for the bottom wall of housing 102 to provide additional structural strength for the housing. Desiccant housing 186 forms an opening 194 in the bottom wall of housing 102 and is closed and sealed by bottom cover 192, assembled into opening 194 and held in place and sealed with flexible adhesive tape. Desiccant pack 188 is inserted into the housing 186 immediately prior to fastening the bottom cover 192 to the housing to maintain the humidity within the disc drive to a design level. As shown in FIG. 8, the top edge 196 of desiccant housing 186 is in a plane that is sloped from the common wall with housing 102 and downwardly toward the bottom wall or deck of housing 102 to form a sloped opening between the desiccant chamber within housing 186 and the disc drive components in the chamber enclosed within housing 102 and the top and bottom covers. The sloped opening permits good air circulation between the disc drive chamber and the desiccant in housing 186 to maintain the humidity within the disc drive chamber at a design level. Conveniently, the angle of the plane of sloped opening 196 is between about 20° and 25° from the plane of the bottom surface.

During assembly, the components of the disc drive are assembled by access into the disc drive chamber through the top opening of housing 102. Upon completion of the assembly, the top cover is attached and sealed to disc drive housing 102. Desiccant pack 188 is then placed within housing 186 which is then closed and sealed with bottom cover 192 and flexible tape, as described above. Since the desiccant is exposed to the atmosphere for a minimal period of time during final assembly, damage to the desiccant due to atmospheric conditions is minimized. As a result, the desiccant is able to immediately adjust the enclosed atmosphere of the disc drive chamber to the design humidity.

As described above, the bottom wall of housing is thinner than in the prior art drive. The thinner housing walls are adequate because of the smaller opening

required for the discs. Moreover, the orientation of desiccant housing 186 along the long dimension of housing 102 more centrally from the side walls permits the desiccant housing to form a strengthening member for the housing. The orientation of desiccant housing 186 longitudinally within the disc drive housing provides structural support for the disc drive housing and overcomes any structural loss due to the thinner housing walls. The 20° and 25° angle to the slope of the top of desiccant housing 186 does not detract from the strengthening effect to housing 102 provided by the desiccant housing.

Lip 115 provides a minimum of 7.6 mm on which to seat gasket 184 to seal the disc drive with cover 182. The prior art drive provided a seat dimension of 2.5 mm at the minimum location, which often resulted in the gasket mis-seating against the housing and cover so that the drive was not properly sealed and contaminants could enter the drive.

The footprint of the drive is 101.6 mm by 146 mm, as in the prior art. However, only about 91.4 mm (3.6 inches) of the width of the drive is required for the drive components. As shown in FIGS. 5 and 6, the 10.2 mm (0.4 inches) savings permits the addition of heat fins 190, extending as much as 5.1 mm (0.2 inches) into the space surrounding the disc cavity to increase the surface area of housing 102 to further dissipate heat from the drive.

A “low-profile” version of the disc drive illustrated in FIG. 3 and 4 comprises a disc drive with six discs (instead of twelve in a half-high drive) so that the stack height is 13.56 mm (0.534 inches), instead of 11.37 mm stack height of low-profile drives of the prior art and 28.88 mm stack height of half-high drives according to the present invention. The overall or profile height of the low-profile disc drive of the present invention, with the cover in place, is 25.4 mm (1.00 inches), instead of 41.15 mm in a half-high drive, and is the same as in the prior art low-profile drive of FIGS. 1 and 2. As in the prior art, the low-profile and half-high versions of the drives according to the present invention enjoy the same foot print, size and style of

recording discs and essentially the same actuator arm seek times. However, because there are half as many discs in a low-profile drive, the total data capacity is also half that of a half-high drive. Hence, the low-profile drive has a capacity of about 9 gigabytes, compared to 18 gigabytes of the half-high drive.

5                   The actuator assembly comprising E-block 142, load arm 146, head/slider 144, voice coil 140 and stop arms 150 and 152 are smaller than the corresponding actuator assembly of the prior art. More particularly, the actuator assembly shown in FIG. 3 has a length of 45.7 mm (1.8 inches) from axis of 148 to the transducing gap of head 144 and has a shorter overall stroke between the inner  
10                   and outer tracks. Consequently, the average stroke of the actuator assembly is smaller than in the prior drive. As a result, the actuator arm requires a smaller inertia of 67.7 gram-cm<sup>2</sup> (10.5 gram-inch<sup>2</sup>), and track seeks of the drive illustrated in FIGS. 3 and 4 require an average of 5.7 msec, 2 msec faster than the prior art drive illustrated in FIGS 1 and 2.

15                   Non-repeatable runout is the condition of unpredictable movement between the head and the disc causing tracking errors. The movement may be caused by a variety of factors, including bearing vibration, actuator vibration and wind turbulence. It is known, for example, that windage between the discs causes turbulence and air pressure variations from the inner radius to the outer radius.  
20                   Pressure variations between the discs causes the discs to "flutter", adversely affecting track positioning and adversely affecting non-repeatable runout. By reducing the diameter of the discs over the standard discs previously employed, and by reducing the spacing between the discs over the disc spacing previously employed, windage and pressure variations are reduced, resulting in thereby improving the non-repeatable  
25                   runout characteristics of the disc drive of the present invention over those of the prior art. Table I illustrates the improved non-repeatable runout achieved by a 3½ inch disc drive (84mm disc) of the present invention over 3½ inch disc drives (95mm disc) of the prior art, both operating at the same rotational velocity.

TABLE I

Disc (dia)	Runout at 20.4 mm	Runout at 40.2 mm	Runout at 45.7 mm
95mm (3- $\Sigma$ )	0.245 microns	0.309 microns	0.335 microns
84mm (3- $\Sigma$ )	0.193 microns	0.206 microns	
95mm (w/c)	0.361 microns	0.502 microns	0.554 microns
84mm (w/c)	0.283 microns	0.335 microns	

Table I compares the runout of 3½ inch disc drives having standard 95 mm discs to 3½ inch disc drives according to the present invention having an 84 mm disc. Illustrated are the measured runout at the inner data track (20.4 mm), at 40.2 mm inch radius (which is the outer data track for the 84 mm discs) and at 45.7 mm radius (which is the outer data track for the 95 mm discs). One set of data is runout data for the disc drives in accordance with the 3-sigma (3- $\Sigma$ ) standard deviation, and the other set of data reflects the worst case (w/c) runout for the disc drives. It will be appreciated that the disc drive according to the present invention exhibits non-repeatable runout that is between 0.052 and 0.078 microns improvement over the prior art drives at the inner data track and between 0.103 and 0.167 microns improvement at the 40.2 mm inch radial position (which is the outer data track of the 84 mm discs, but somewhat inboard of the outer data track on the 95 mm discs). This represents non-repeatable runout performance improvements of between 21% and 33%.

As shown in FIG. 3, stop arms 150 and 152 engage a stop pin 154 or 156 to define the stop positions that limit of rotational travel of E-block 142. As shown particularly in FIGS. 12 and 14, stop arms 150 and 152 have flat surfaces 151 and 153, respectively to engage stop pins 154 or 156. One feature of the invention resides in the ability to accurately locate and position the inner and outer tracks of discs 104. More particularly, E-block 142 is placed in a shuttle (not shown). The gimbal/slider head assembly 146, 144 is swagged to the E-block and the position of

the transducing gap or element of head 144 is located with respect to the shuttle and to axis 148 of the actuator assembly. The distance between axis 148 and transducer is represented by distance 250. The distance between the innermost and outermost data tracks being known (e.g., 19.8 mm in the present invention), the total angular displacement of the E-block can be geometrically identified. Likewise, the distance 252 between axis 148 and the arc 254 of movement of stop surfaces 151 and 153 is also known from the geometry of the E-block. Consequently, the positions of surfaces 151 and 153 may be milled or otherwise adjusted to accurately position the angular travel of head 144 in the full extent of movement of the E-block. The milling of surfaces 151 and 153 is performed in planes that project through axis 148 so that surfaces 151 and 153 are normal to the arc of travel of the yoke arm as the E-block rotates about the axis. Therefore, upon completion of the assembly of the E-block into the disc drive, the position of the inner and outer tracks is accurately determined.

One feature of the stop assembly resides in the fact that the stop surfaces are on the yoke arms of the motor assembly for the E-block, distal from the spindle axis and arranged to engage a stop pin 154, 156 mounted to the disc drive housing. In the prior art drive, stop pin on the E-block was mounted to an extension arm adjacent the flex circuit and near the spindle axis to engage a surface of the housing. Because of the proximity of the stop arrangement to the E-block, any error in positioning the stop surfaces was magnified along the greater distance (for example, 250) of the actuator arm to the head. More particularly, the distance between the spindle axis and transducer was typically three times the distance between the spindle axis and the stop surface, so any error in positioning the stop surface was magnified up to three times to the head. By positioning the stop surface at the distal end of the yoke as in the present invention, coupled with the shorter actuator arm of the E-block due to the smaller recording discs, the distance between the head 144 and the spindle axis 148 is nearly the same as the distance between axis 148 and stop surfaces 151



and 153. As a result, any error in the positioning of stop surfaces 151 and 153 is not magnified to the head as in the prior art.

One feature of the disc drive of the present invention resides in the access to flex circuit 172 and E-block 142 to permit connection of the flex circuit to  
 5 conductors supported by the E-block for the heads. This feature is particularly illustrated in FIGS. 12-15.

FIG. 12 illustrates a portion of the actuator assembly of the present invention. The actuator assembly includes E-block 142 having a plurality of actuator arms 204' ... 204"". For the twelve discs of the disc drive illustrated in FIGS. 3 and  
 10 4, there are thirteen actuator arms 204 of E-block 142. Actuator arms 204' and 204"" carry a single load arm 146 and head 144 (FIG. 3), whereas actuator arms 204" ... 204"" each carry two load arms 146 and heads 144. Each disc spins between two actuator arms, load arms and gimbal/slider/head arrangements so that a single head confronts each disc surface. As shown in FIG. 12, actuator E-block 142 includes a  
 15 plurality of thirteen slots 210 extending between an axial slot 212 and actuator arms 204.

FIG. 13 illustrates a plurality of load arms 146 terminating at heads 144 on sliders. A ribbon of conductors 214 extends from heads 144 and terminates at tabs 216. The ribbon 214 comprises a suitable insulator material, such as Kapton  
 20 encapsulating printed copper traces that provide electrical connection between tabs 216 and heads 144. For flexibility, ribbon 214 is preferably about 2 to 3 mils thick. Tabs 216 on each ribbon form conductive terminations for the copper traces on the ribbon. Tabs 216 project outwardly from ribbon 214 opposite a fin 218. Each fin 218 is constructed of Kapton and copper traces, and has a thickness that may be equal to  
 25 the thickness of ribbon 214. Ribbons 214 further include terminations 228 that distribute electrical connections from the ribbon portion to heads 144. Each termination 228 is adhesively attached to one side of a respective load arm 146 on a side of the load arm opposite head/slider 144. With load arms 146 mounted to

actuator arms 204 and terminations 228 attached to the load arms, ribbons 214 extend along the length of the actuator arms along one side thereof. Ribbons 214 and fins 218 are located in slots 210 in E-block 142 (FIG. 12). In the case of actuator arms 204' and 204'', slot 210 is wide enough to accommodate a single ribbon and fin assembly, whereas the slots for actuator arms 204" - 204''' are wide enough to accommodate two ribbon and fin assemblies for the two head supported by the arm.

Substrate 220 (FIG. 13) is mounted to E-block 142 and includes a plurality of extensions 222 forming slots 224 therebetween, matching slots 210 on E-block 142 at the position of tabs 216, except there is no slot 224 corresponding to a the uppermost and lowermost slots 210 on the E-block. Each extension 222 includes a plurality of conductive pads 226 extending to and facing an individual slot 224. Each pad 226 corresponds to an individual one of tabs 216 of ribbons 214. With the ribbons 214 in place and fins 218 assembled into slots 210, the individual tabs 216 protrude through slots 224 in substrate 220 adjacent each pad 226. Tabs 216 are thereupon bent into contact with an individual pad 226 and soldered in place, such as by reflow soldering.

As shown particularly in FIGS. 14 and 15, flexible circuit 172 provides a flexible connection to substrate 220 and is mounted thereto and sandwiched against E-block 142 by fasteners 232. As shown particularly in FIG. 15, stiffener plate 234 sandwiches the assembly together to rigidly connect flex circuit 172 to substrate 220 and to the E-block. Stiffener plate 234 provides a rigid mount of substrate 220 to the E-block and substrate 220 fixedly positions ribbons 214 and their respective fins in the respective slots in the E-block. Because fins 218 extend into the E-block and are held in their respective slots 210 by the rigid fastening of tabs 216 to substrate 220 which is rigidly positioned by stiffener plate 234, fins 218 cannot accidentally separate from slots 210. Consequently, fins 218 may be loosely received in the slots, and held in place by stiffener plate 234.

The connector assembly of the present invention may be largely assembled outside the disc drive. More particularly, heads and suspensions are assembled to load arms 146 which are swagged to the actuator arms of the E-block. Ribbons 214 are connected to the heads and assembled into the slots 210 in load arms 146 and the E-block. Substrate 220 is assembled to the E-block. Due to the nesting of fins 218 to respective slots 210, ribbons 214 are properly aligned so that the protruding tabs 216 are in alignment with pads 226 on substrate 220. With the alignment completed, tabs 216 are soldered to pads 226 to complete the assembly of the ribbons to the E-block. Flex circuit 172 is then connected to substrate 220 and stiffener plate 234 is mounted to the E-block. Final assembly is accomplished by assembling actuator assembly into the drive housing and connecting flex circuit 172 to bulkhead connector 170 (FIG. 1).

During assembly, ribbons 214 are held in place in slots 210 in the E-block by virtue of fins 218 extending more deeply into the slots 210 in the E-block directly opposite the connection of tabs 216 to the substrate. With the opposite ends of ribbons 214 being connected to the load arms by the adhesive attachment of terminations 228, a small tension is imposed on ribbons 214 to hold them in place in the slots for alignment of tabs 216 to pads 226 during soldering. This arrangement ensures that fins 218 remain in slots 210, thereby ensuring that ribbons 214 remain in place during assembly, even though the ribbons and fins are loosely coupled to the slots 210. The solder connection of tabs 216 to pads 226 assures rigid mounting of ribbons 214 to substrate 220 (which in turn is rigidly mounted to the E-block). Moreover, although slots 224 in substrate 220 provide convenient access of the tabs to the exposed surface of the substrate, slots 224 are not necessary for the placement of fins 218 in slots 210, and the fins remain in their respective slots 210 even if slot 224 is not present. Most particularly, as shown in FIG. 3, the tabs 216 of the uppermost and lowermost ribbons do not extend through slots 224 in substrate 220.

Instead, these ribbons, like the other ribbons of the assembly, are held in place by fins 218 in slots respective 210.

5 The present invention thus provides an improved disc drive utilizing a smaller-than-standard disc diameter in a standard disc drive housing configuration without sacrificing overall data capacity. The smaller discs require less power for a given rotational velocity, resulting in the ability to achieve higher spindle speeds and reduced latency without increasing spindle power consumption over that of prior larger, slower drives. Additionally the disc drive exhibits a significant reduction in seek time without increasing data densities.

10 Although the present invention has been described with reference to preferred embodiments, workers skilled in the art will recognize that changes may be made in form and detail without departing from the spirit and scope of the invention.

## CLAIM(S):

1. A magnetic disc drive assembly including:
  - a disc drive housing having an external three-dimensional configuration matching a standard configuration; and
  - a disc drive supported in the housing having
    - a stack of rotatable rigid magnetic recording discs each having a diameter smaller than the diameter of rigid discs ordinarily contained in a disc drive housing having the standard configuration, and
    - a head/actuator assembly for reading data to and writing data from selected ones of the discs.
2. The magnetic disc drive assembly of claim 1, wherein the disc drive housing has a standard 3½ inch external three-dimensional configuration and the magnetic recording discs each have a diameter of 84 mm.
3. A magnetic disc drive assembly including:
  - a disc drive housing having an external three-dimensional configuration matching a standard configuration;
  - means for stacking and rotating a plurality of rigid magnetic recording discs within the housing, each disc having opposite recording surfaces and having a diameter smaller than the diameter of rigid magnetic discs ordinarily contained in a disc drive housing having the standard configuration, the number discs within the housing being greater than the number of discs

ordinarily contained in the disc drive housing having the standard configuration;  
a plurality of transducers, each associated with a recording surface of one of the discs; and  
actuator means supporting the plurality of transducers for positioning each transducer adjacent a respective surface of a disc.

4. The magnetic disc drive assembly of claim 3, wherein the disc drive housing has a standard 3½ inch external three-dimensional configuration and the magnetic recording discs each have a diameter of 84 mm.

## HIGH PERFORMANCE STANDARD CONFIGURATION DISC DRIVE HAVING SMALLER-THAN-STANDARD DISCS

### ABSTRACT OF THE DISCLOSURE

A magnetic disc drive assembly comprises a disc drive housing having an external three-dimensional configuration matching a standard configuration. A disc drive is supported in the housing and includes a stack of rotatable rigid magnetic recording discs in a unique configuration wherein each disc has a diameter smaller than the diameter of rigid discs ordinarily contained in a disc drive housing having the standard configuration. Optionally, the stack of discs includes a number of discs greater than that normally housed in a disc drive housing having the standard configuration. Various techniques are employed to achieve the unique disc configuration, including a stop mechanism attached to the yoke of an E-block assembly, a conductor assembly electrically connecting transducers on the E-block to a flex circuit and employing fins supported in slots in the E-block, a cable connector mounted to a circuit board supported on the disc drive housing and having a connector housing conforming to a portion of the disc drive housing, and a desiccant housing providing structural support for the disc drive housing.

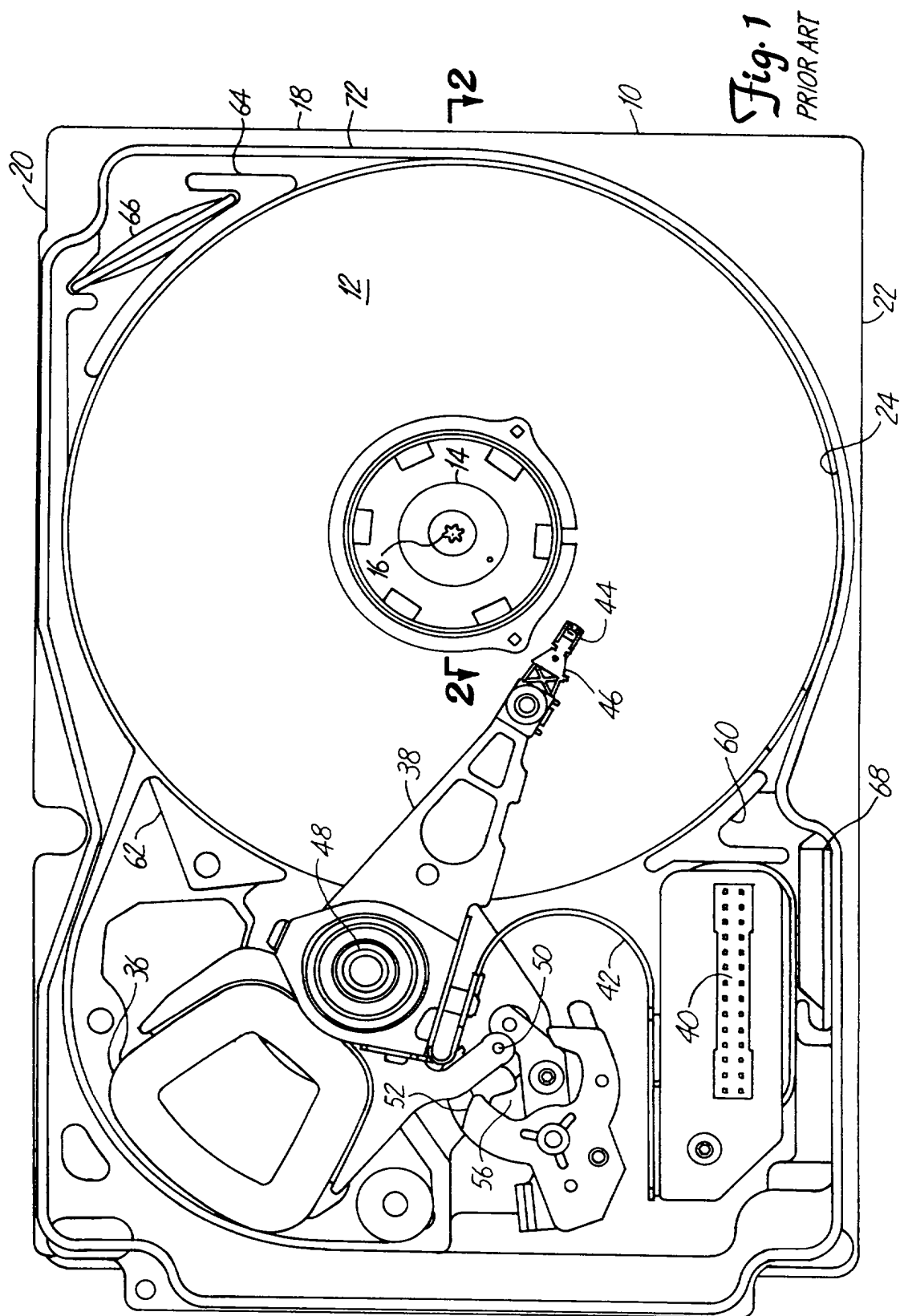
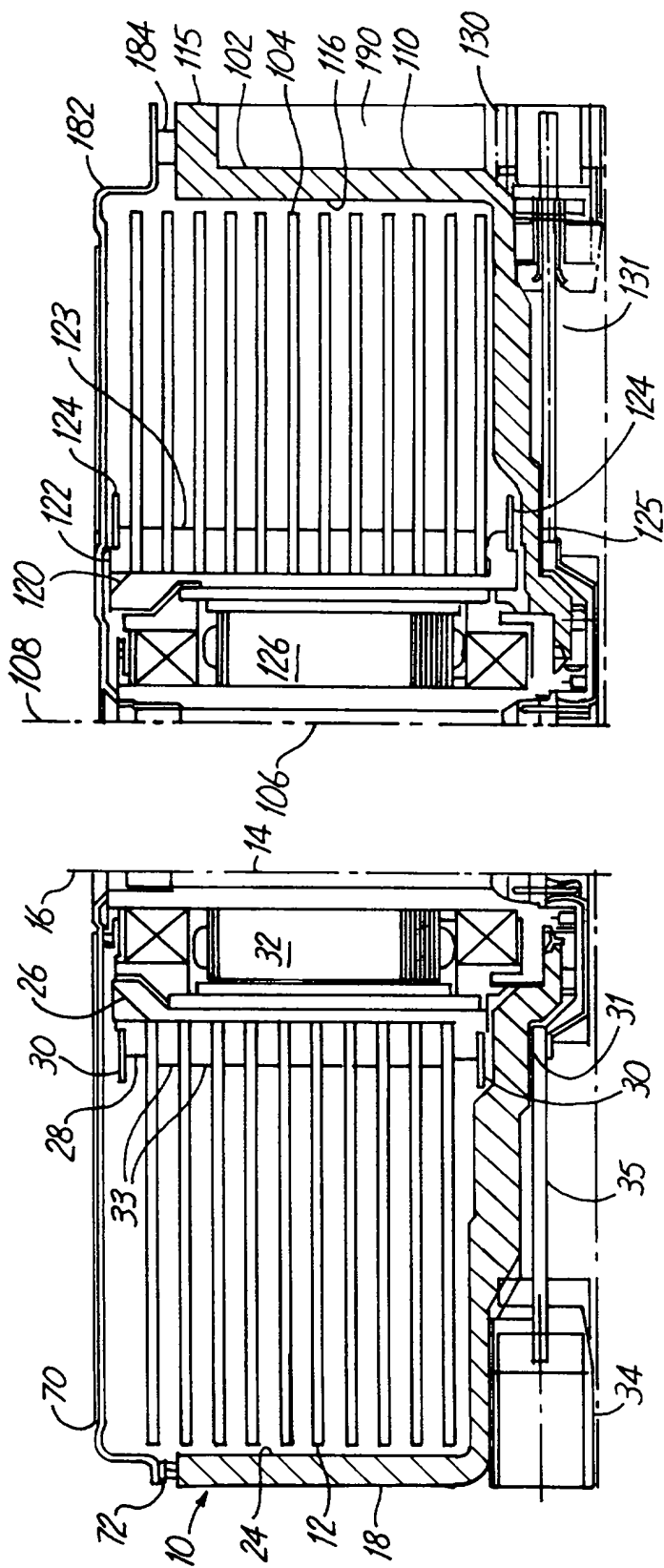


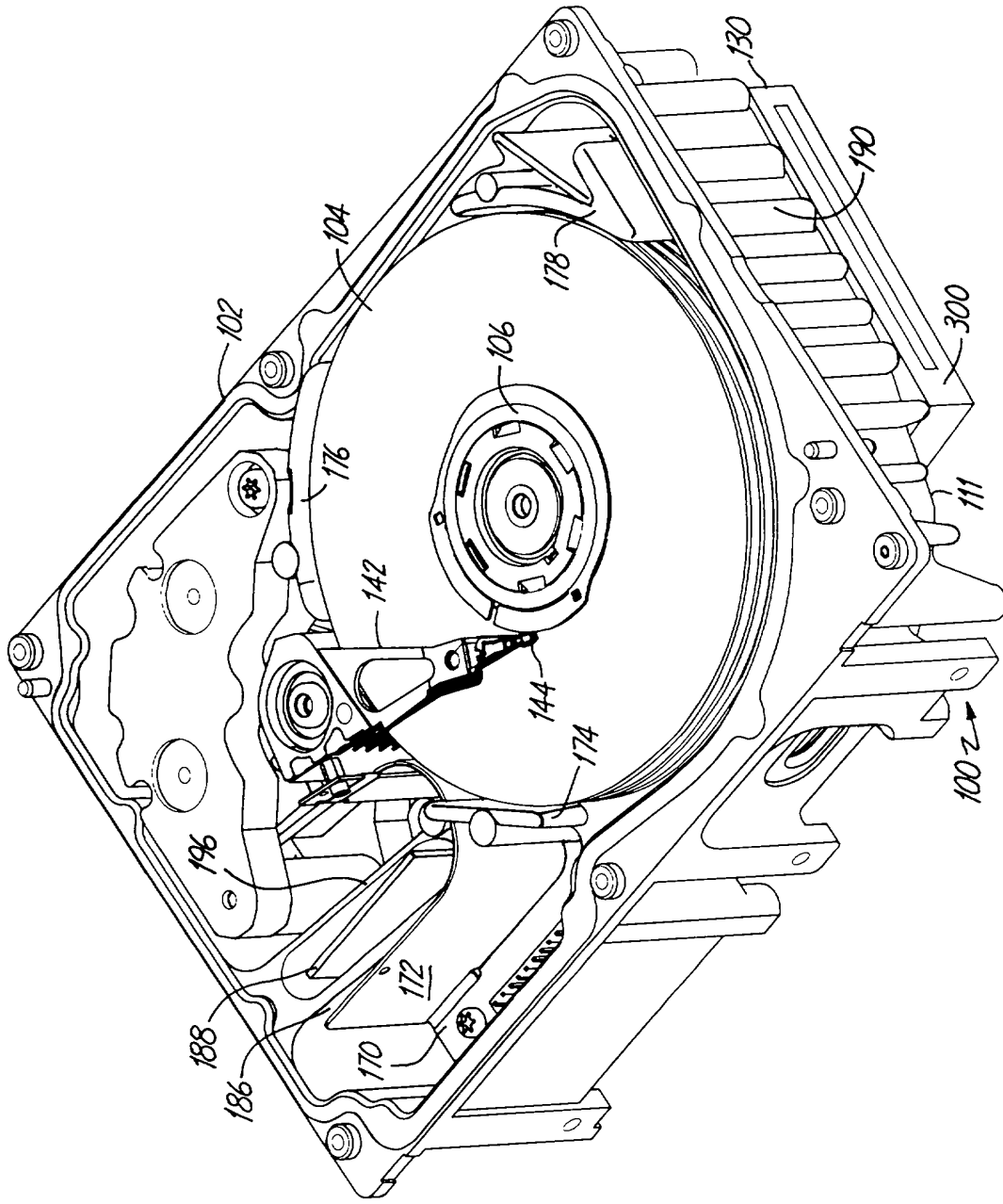




Fig. 3



+



+

+

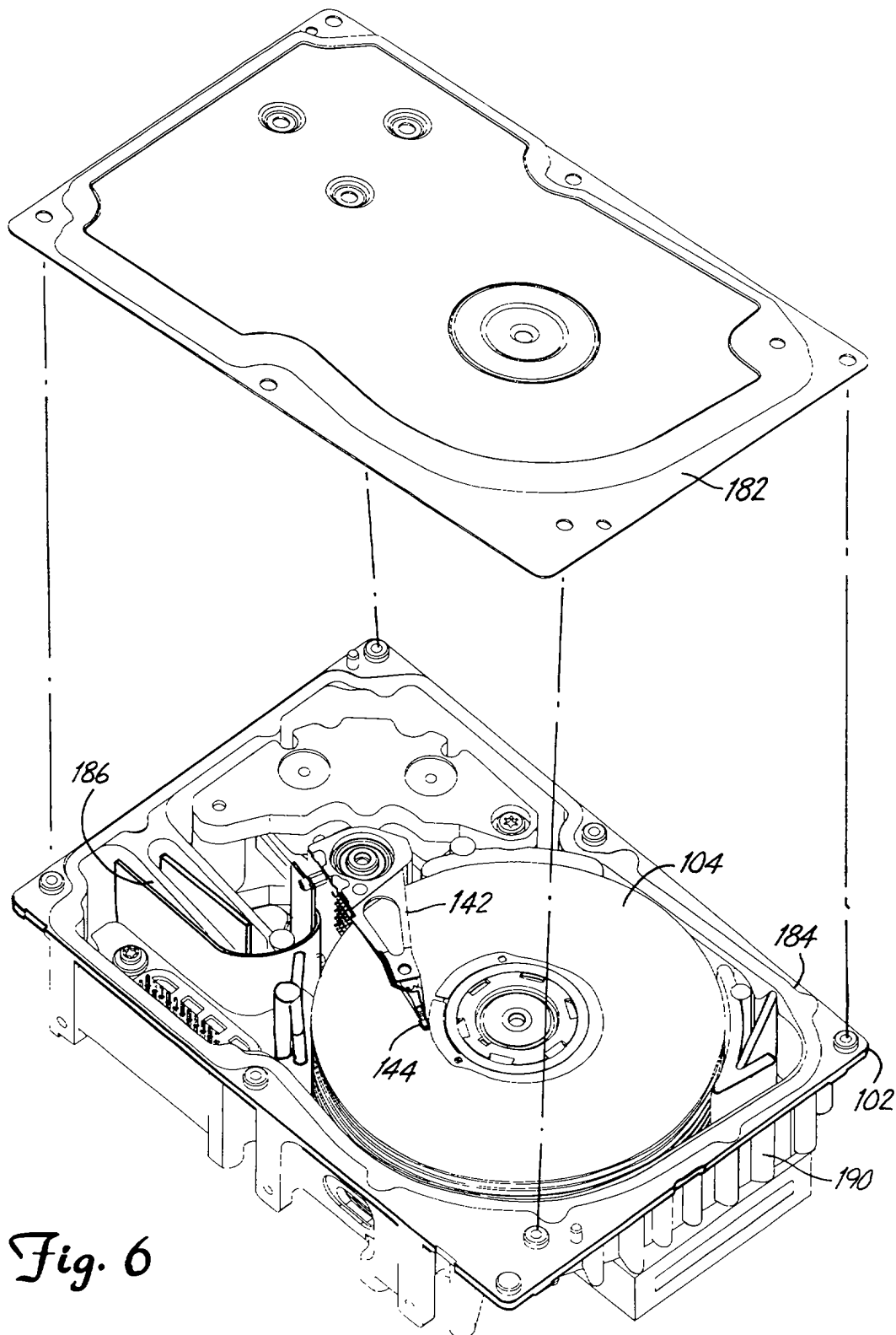


Fig. 6

+

+

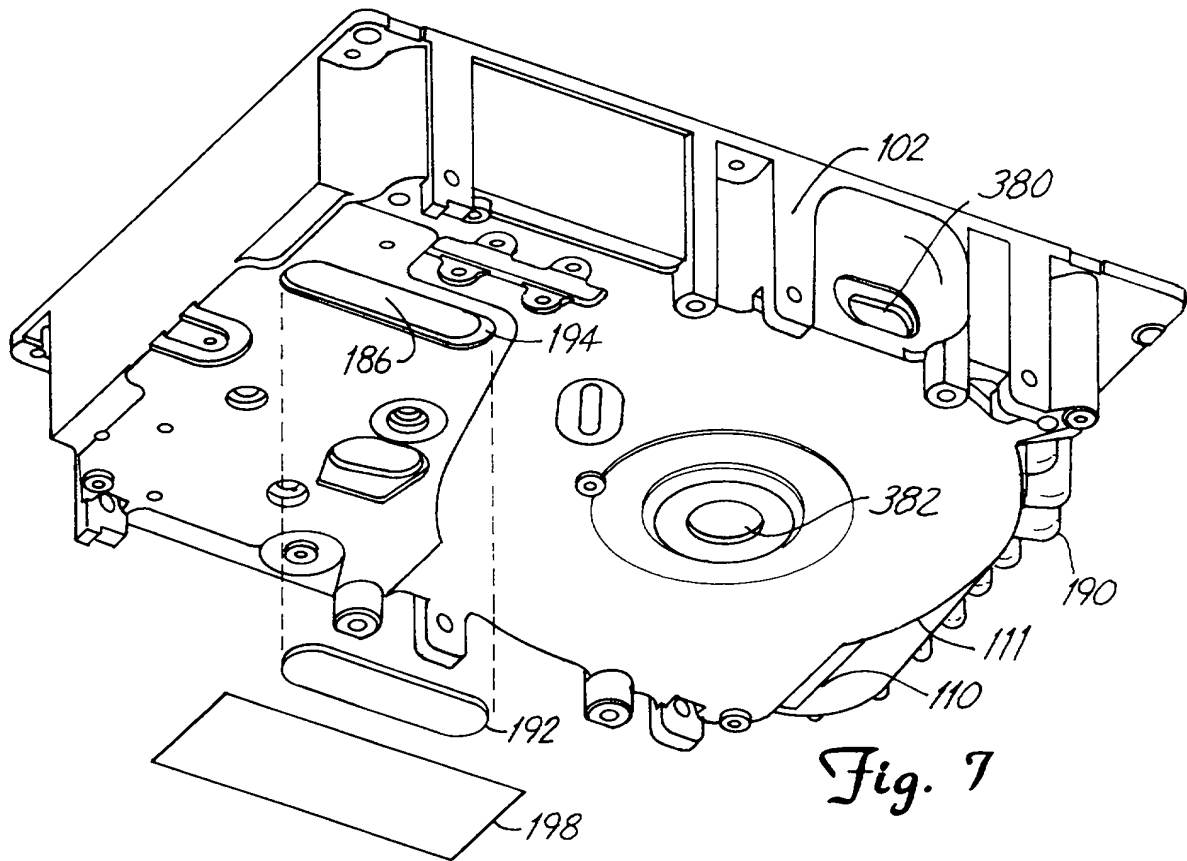


Fig. 7

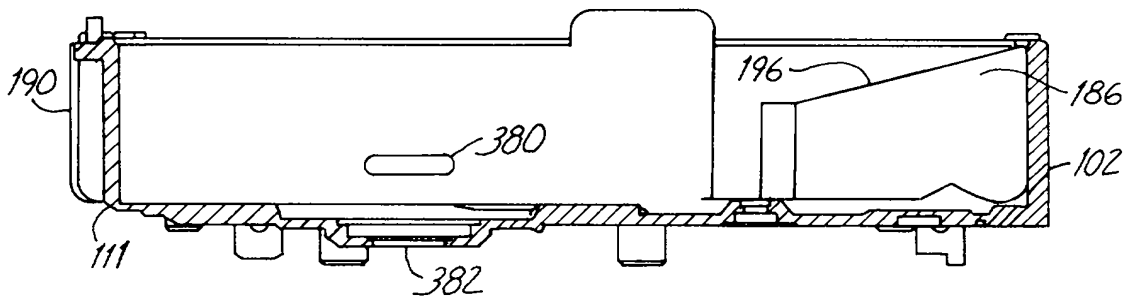
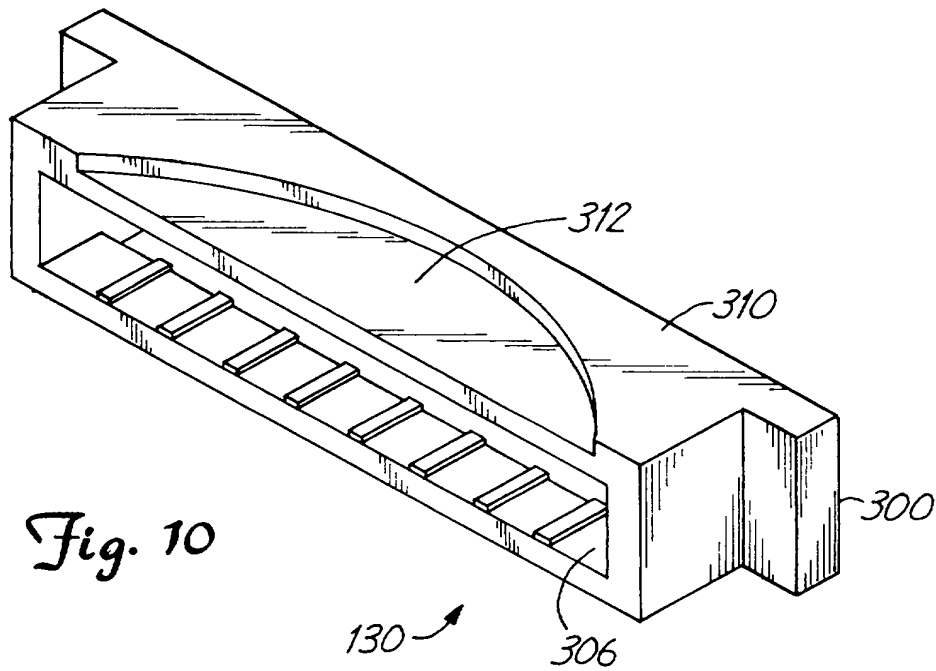
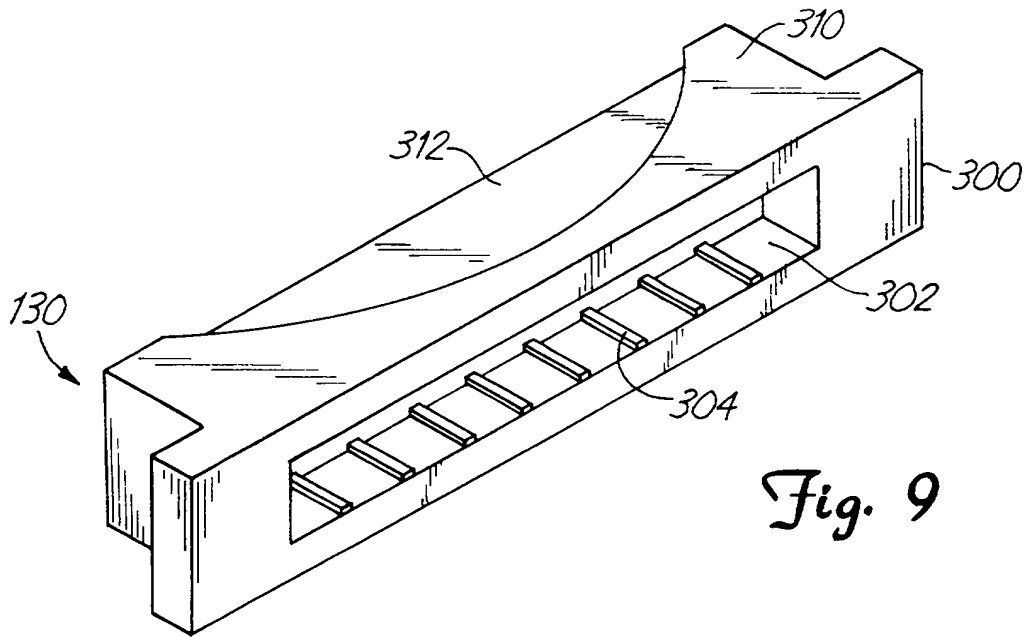


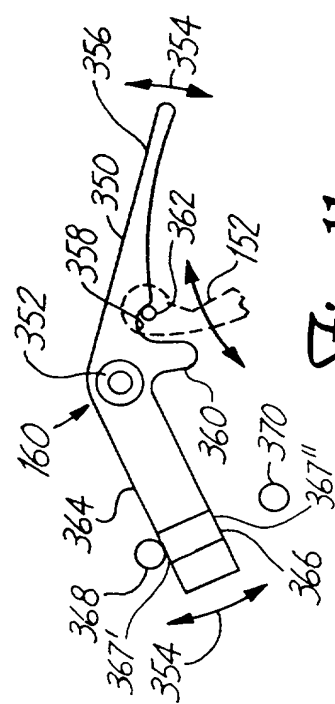
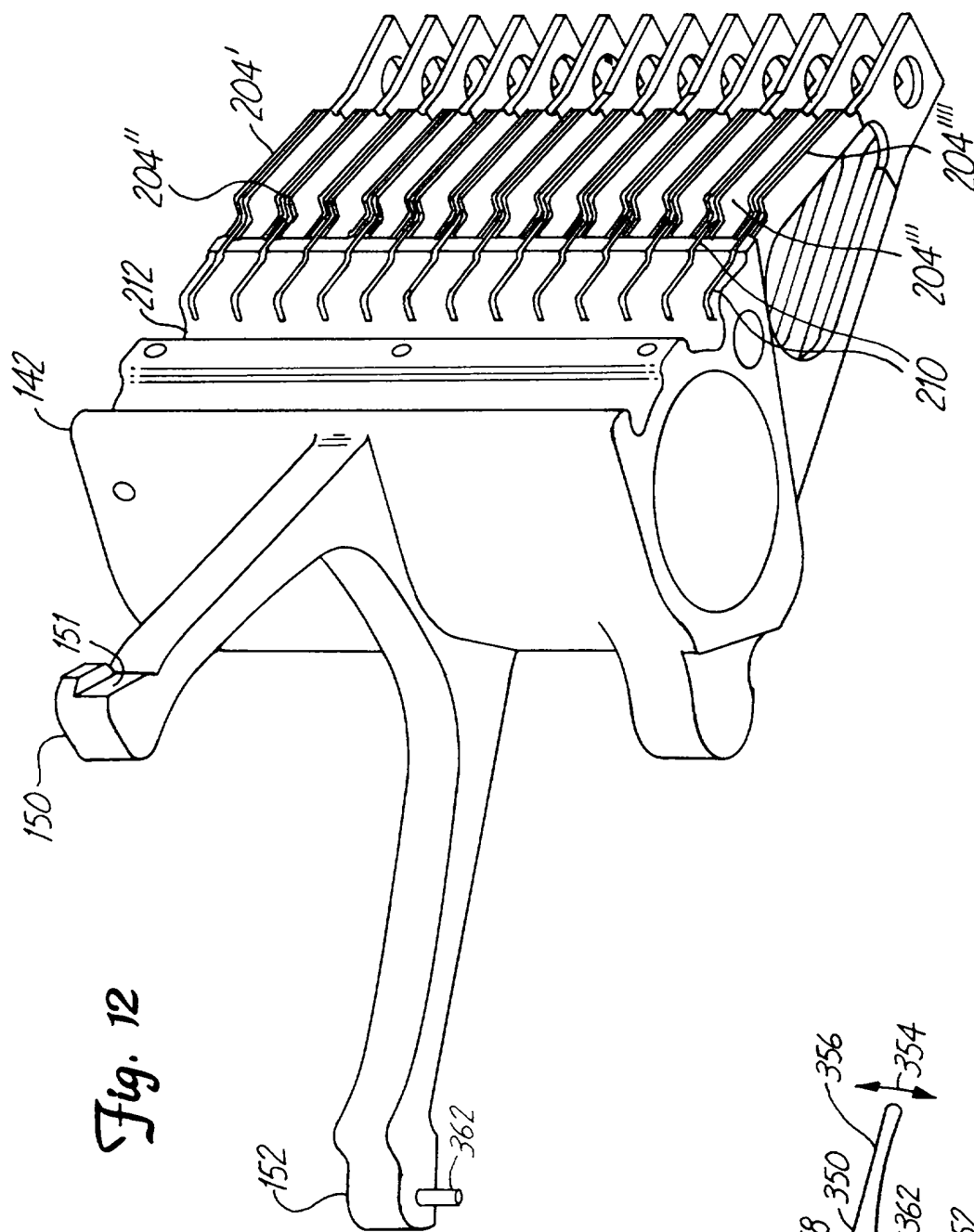
Fig. 8

+

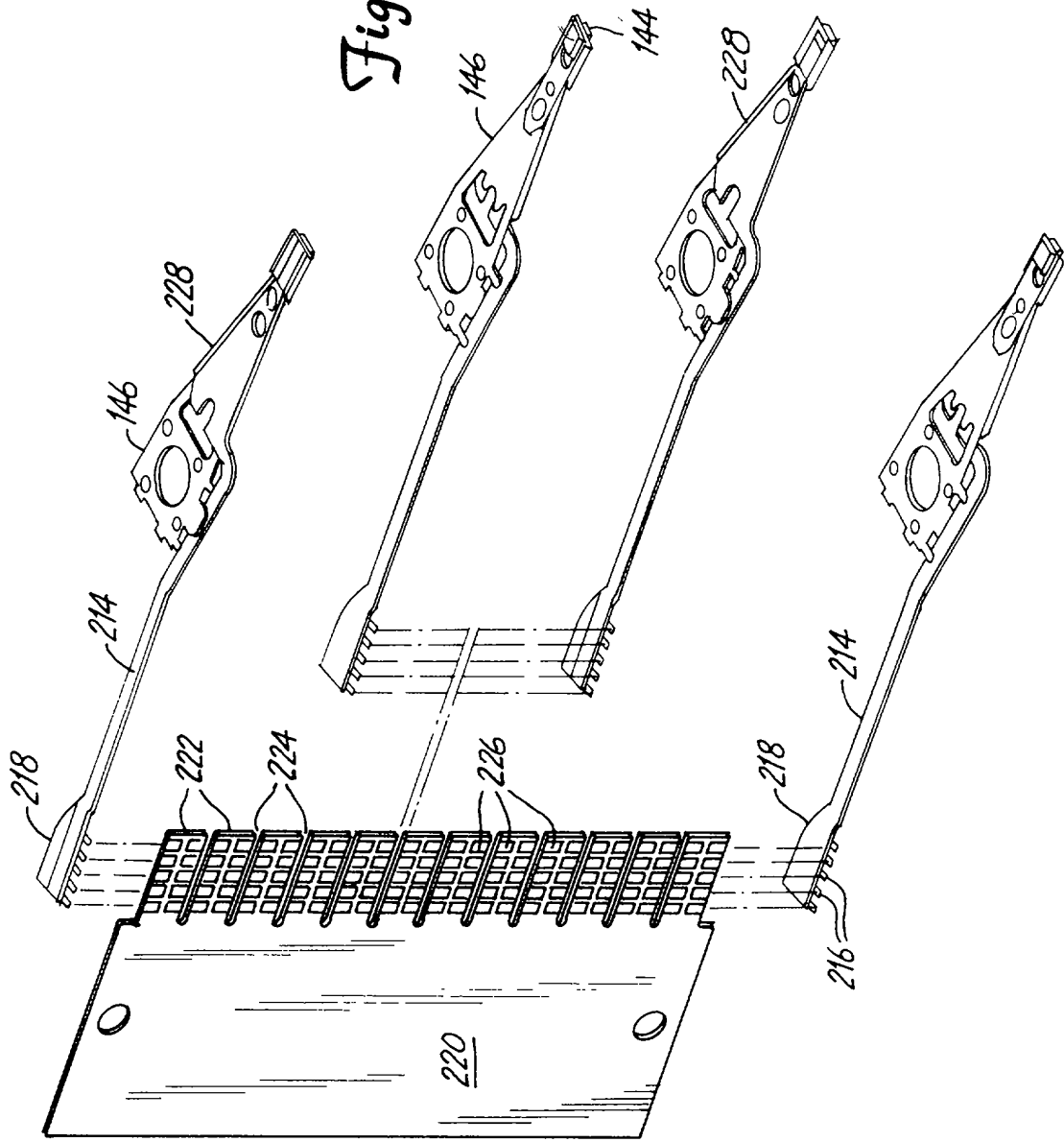
+



+



+



+



+

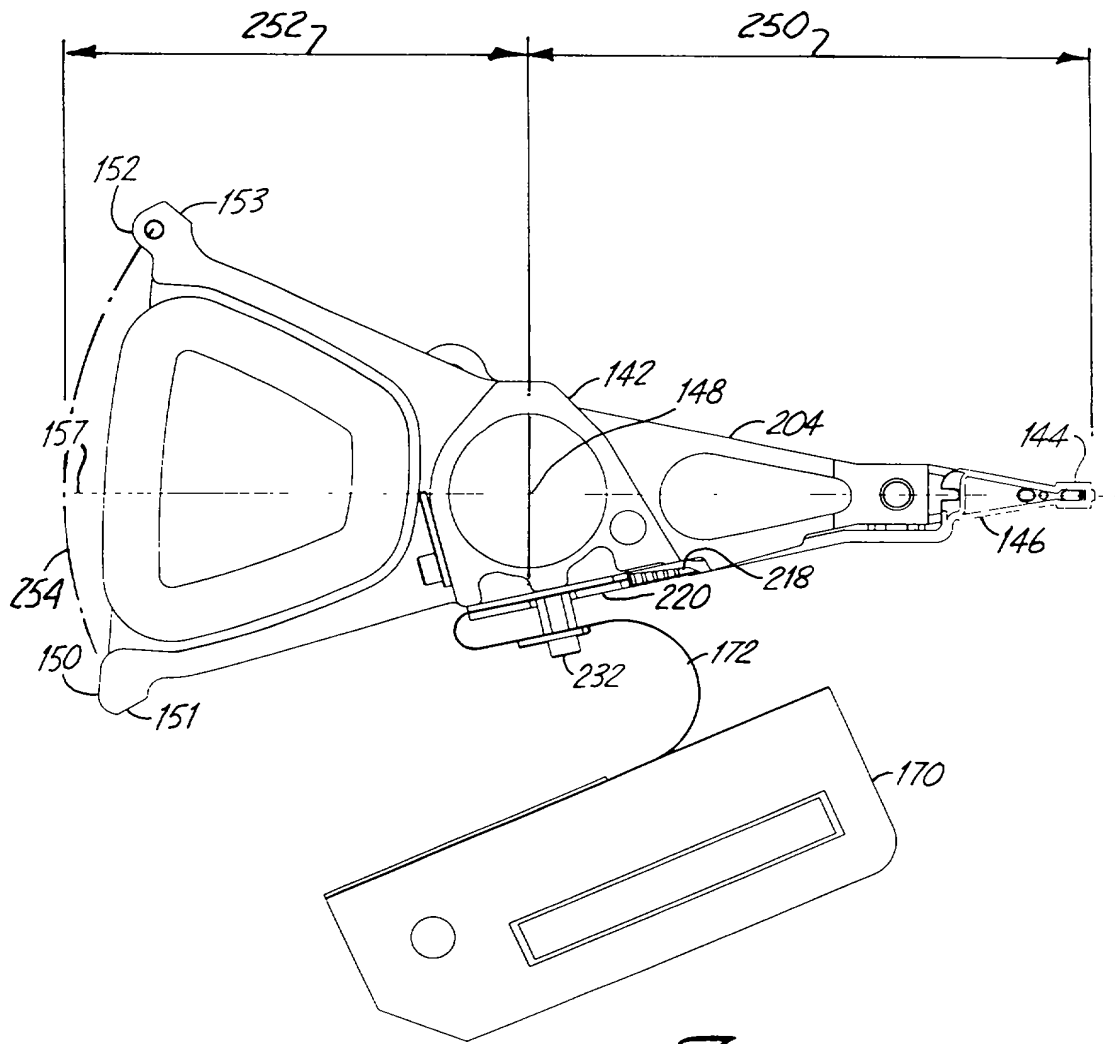


Fig. 14

+

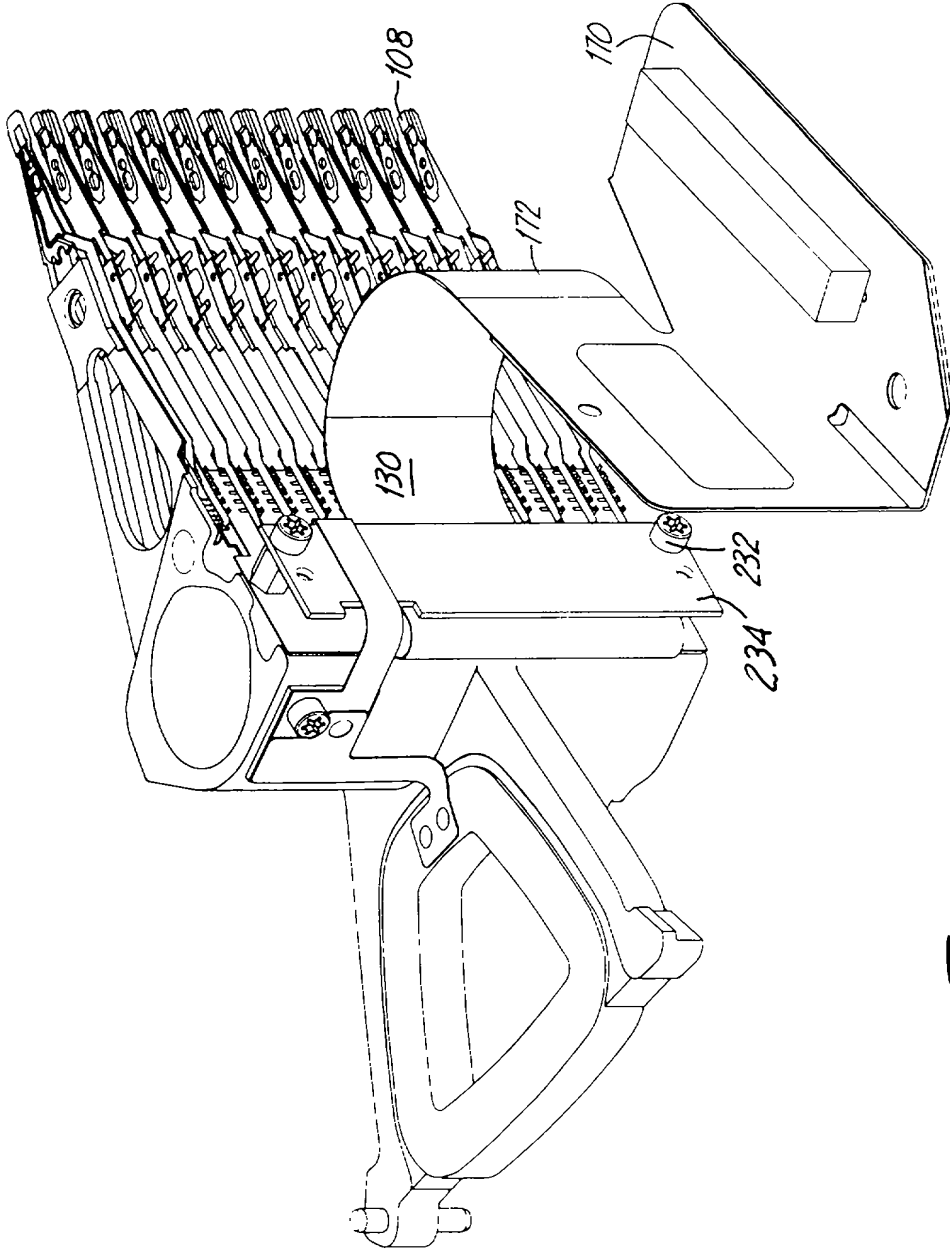


Fig. 15

<b>DECLARATION FOR UTILITY PATENT APPLICATION (37 C.F.R. 1.63)</b>		Attorney Docket No.	I169.12-0314
		First Named Inventor	Kent J. Forbord
		<b>COMPLETE IF KNOWN</b>	
<input checked="" type="checkbox"/> Declaration Submitted with Initial Filing	Declaration Submitted after Initial Filing (Surcharge (37 C.F.R. 1.16(e)) Required)	Application Number	
		Filing Date	Herewith
		Group Art Unit	
		Examiner Name	

As a below named inventor, I hereby declare that my residence, post office address, and citizenship are as stated below.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:  
HIGH PERFORMANCE STANDARD CONFIGURATION DISC DRIVE HAVING SMALLER-THAN-STANDARD DISCS

the specification of which:

☒ is attached hereto OR

was filed on as United States Application Number or PCT International Application Number and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Certified Copy Attached? Yes      No

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)
60/057,955	09/05/1997
60/063,322	10/27/1997


I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is or disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

DIRECT ALL CORRESPONDENCE TO:

Name	Robert M. Angus
Address	Kinney & Lange, P.A. Suite 1500 625 Fourth Avenue South Minneapolis, Minnesota 55415 United States
Telephone	(612) 339-1863
Fax	(612) 339-6580

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

<b>Sole or First Inventor:</b>	
Given Name (First and middle (if any))	Family Name or Surname
Kent J.	Forbord
Inventor's signature	 Date 6-25-98
Residence	St. Louis Park, Minnesota      Citizenship: U.S.A.
Post Office Address	4007 Highwood Road
City, State, Country	St. Louis Park, Minnesota 55416, U.S.A.